



Professional Services - Contract Task Order

The Contractor hereby agrees to perform the work detailed below in accordance with all the terms and conditions of the Contract referenced below. The Contractor shall furnish the necessary facilities, professional, technical and supporting personnel required by this Task Order as detailed below.

Contract No. S16083 Task Order No. 12
Contractor: RSM US LLP SAP Requisition No. 2000187548
(Attach Purchase Requisition Form)
Description: Investment Program Controls Internal Audit - FY17

Term: Effective 03/01/17 and continue in effect through 06/30/17
Date (Contract term cannot exceed 7 years without Board Approval)

Compensation: (check one) T&M CPMF FFP

Task Order Value: FFP \$ 10,000.00
Travel
TOTAL: \$ 10,000.00

(To be completed by Contracts Administrator)
Contract Value available to authorize this Task Order: \$1,732,000.

Funding Information: (Check funding sources)
 Federal Funds: FTA/Transit or FHWA/Highways State Funds
 Caltrans Local Assistance Program Measure A MBTIP Funds
 Other: VTA local sales tax

COMPENSATION: For the complete and satisfactory performance of services under this Task Order, Contractor shall be paid in accordance with the compensation provisions in the Master Contract referenced above.

Scope of Services: (check one)
 See details below of Scope
 See attached Scope of Services

Assess the design and operating effectiveness of the investment controls to assess if reasonable safeguards are in place to minimize VTA's risk. Scope will include testing of key controls that require Investment Policy approval, management review of investment performance report, investment accounting, estimated net cash needs review, as well as other areas identified during the process.

Key Personnel: List additional Personnel authorized to provide services under this Task Order. (check one)
 See details below of Personnel (Name/Classification)
 See attached List of Personnel (Name/Classification)

Bill Eggert, Director and VTA Auditor General
Corey Saunders, Risk Advisory Services Partner
Lily Rogers, Risk Advisory Services Supervisor
Pat Hagan, Consultant Subject Matter Expert
Other VTA management-approved subject matter experts as required


SANTA CLARA
Valley Transportation Authority
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Subcontractors: List additional Subcontractors authorized to provide services under this Task Order. (check one)

- See details below of Subcontractors (Indicate SBE/DBE status)
 See attached List of Subcontractors (Indicate SBE/DBE status)

None.

Approvals: Group/Project Manager is required to obtain OS&DB's signature prior to submitting to Contracts.

OS&DB: SBE/DBE Goal _____ NSG _____ %
 (Specifically established for this Task Order)

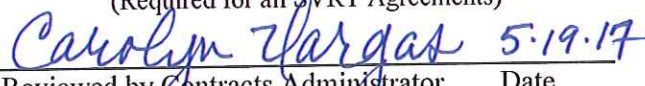
 5/16/17
 OS&DB Manager Date

Task Manager _____ Date _____

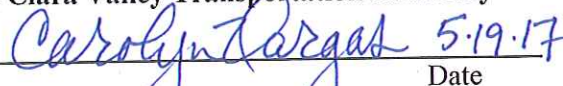
 MAY 1, 2017
 Group/Project Manager Date

Project Director or Division Chief _____ Date _____
 (Required for all SVRT Agreements)

Capital Projects Group _____ Date _____


 5.19.17
 Reviewed by Contracts Administrator Date

Santa Clara Valley Transportation Authority

By:  5.19.17
 Date

Name (print): Carolyn Vargas
 Title: Contracts Administrator

Contractor

By:  5/13/17
 Date

Name (print): BILL EGERT
 Title: DIRECTOR